

High-Pressure Chemical Vapor Deposition:

An enabling technology

for the fabrication of embedded

indium-rich multiple heterostructures

The Third
International
Indium Nitride
Workshop
Nov. 12-16,
2006,
Ilhabela Brazil

Nikolaus Dietz

Department of Physics & Astronomy

Georgia State University; Atlanta GA

<http://www.phy-astr.gsu.edu/dietzrg/>

Acknowledgement:

Mustafa: PhD student

Goksel and Ramazan : PhD students

Prof. Beatriz Cardelino at Spelman:

Prof. I.T. Ferguson's research group at GaTech:

Prof. A. Hoffmann's research group at TUB:

HPCVD growth

Optical & Struct. Characterization

Theory / modeling

GaN & Structural Characterization

Optical spectroscopy

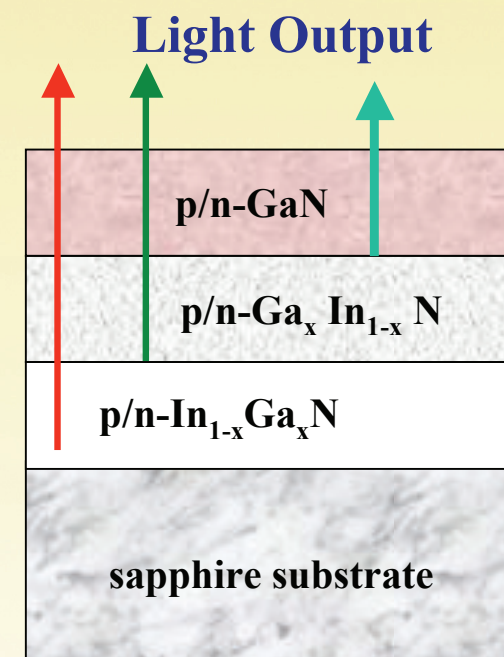
Support: GSU-RPE, NASA (real-time diagnostics); AFOSR (reactor development)

Need for embedded InN and indium rich group III-nitride alloys and heterostructures

➤ High-efficient energy conversion systems

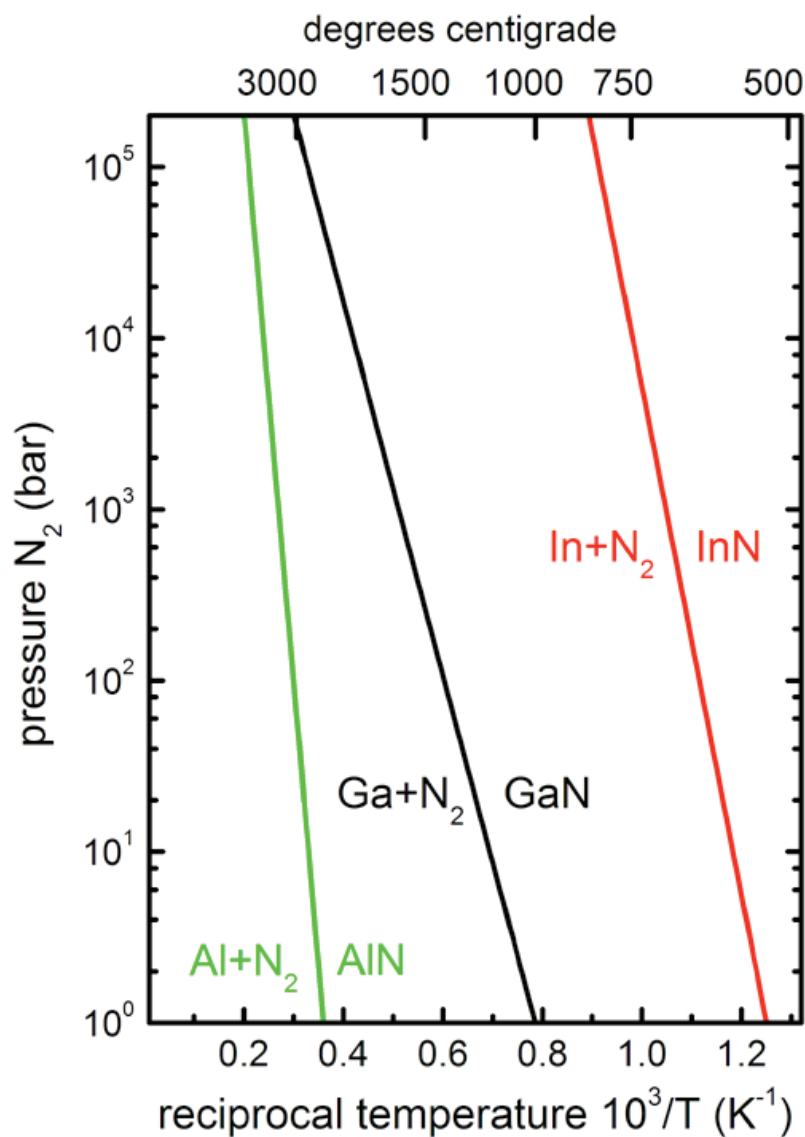
- ↳ Solid State Lightning (SSL)
- ↳ frequency agile lasers in wide spectral range
- ↳ monolithic integrated LED's / displays
- ↳ Monolithic integrated dual UV/IR detectors

- High Speed Electronic Switching
- Monolithic integrated optoelectronics
- High Power Amplification
- High temperature device operation
- Room-temp. ferromagnetic group III-nitrides
- Terahertz device structures (emitters and detectors)



However, high quality InN and indium-rich alloys are difficult to fabricated at optimum processing conditions!

The need of high-pressure CVD for InN growth and the fabrication of embedded $\text{GaN}/\text{In}_{1-x}\text{Ga}_x\text{N}/\text{GaN}$ structures



Materials Research Bulletin 5, 783 (1970)

Stabilization of InN under blanket of high pressure molecular nitrogen will enable processing at higher temperatures T than attainable at atmospheric and sub-atmospheric pressure.

$p = 100 \text{ atm}$

$T = 900 - 1100 \text{ K}$

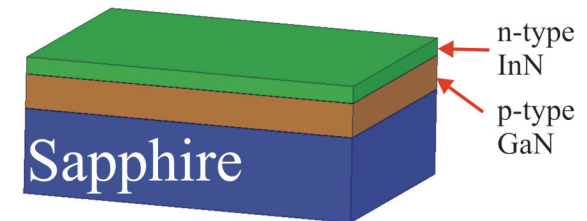
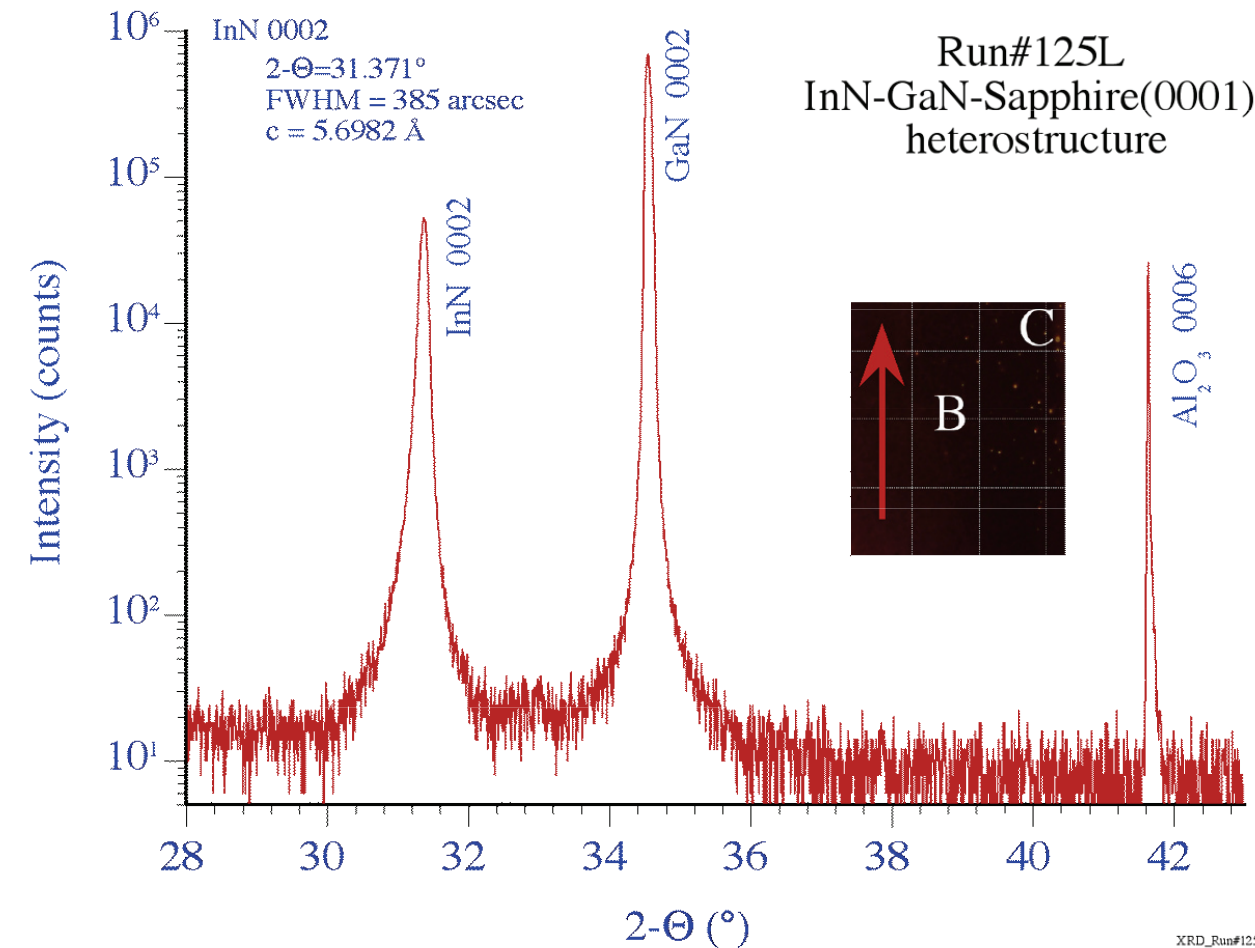
Potential gains of high T :

- Improved nucleation kinetics
- Improved surface morphology
- Improved microstructure at growth temperature
- **Match of InN - GaN - AlN processing conditions**
→ **control of decomposition kinetics**

Drawback:

flow-kinetics can not be neglected!

Does the HPCVD approach work? - Yes!



- Single crystal InN successfully deposited on GaN and sapphire epilayers
- FWHM of InN (0002) presently around 385 arc sec
- Carrier concentration in mid 10^{19} cm^{-3}
- Mobility around $600 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$
- Absorption edge above 1.5 eV



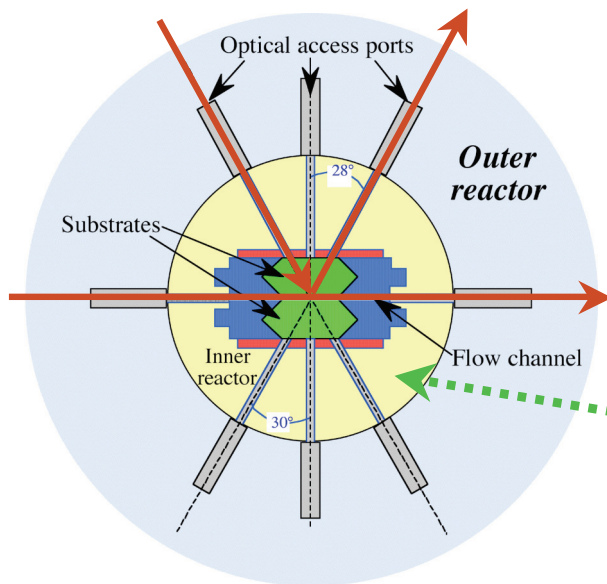
Processing temperatures around 850 - 900 °C (@15 bar) enables the fabrication of thick GaAlN/In_{1-x}Ga_xN/GaAlN multiple heterostructures.



High-Pressure Chemical Vapor Deposition “*HPCVD*” *Reactor Characteristics and Implementation**

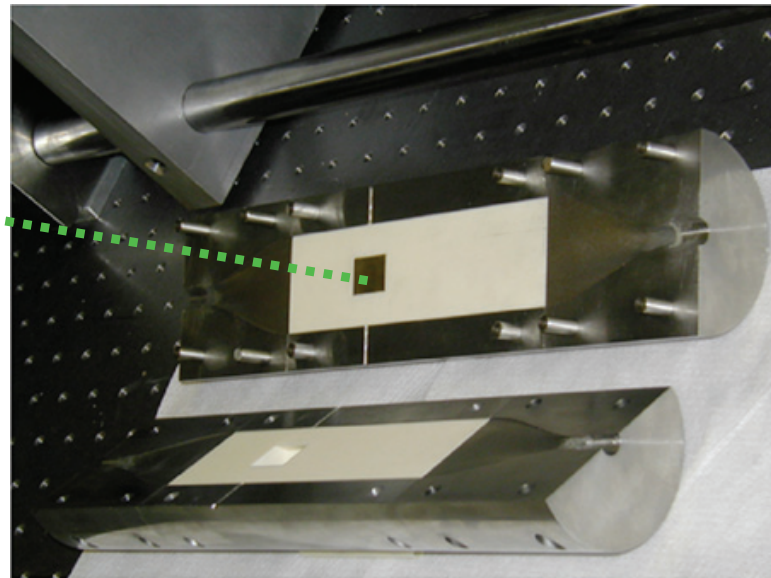
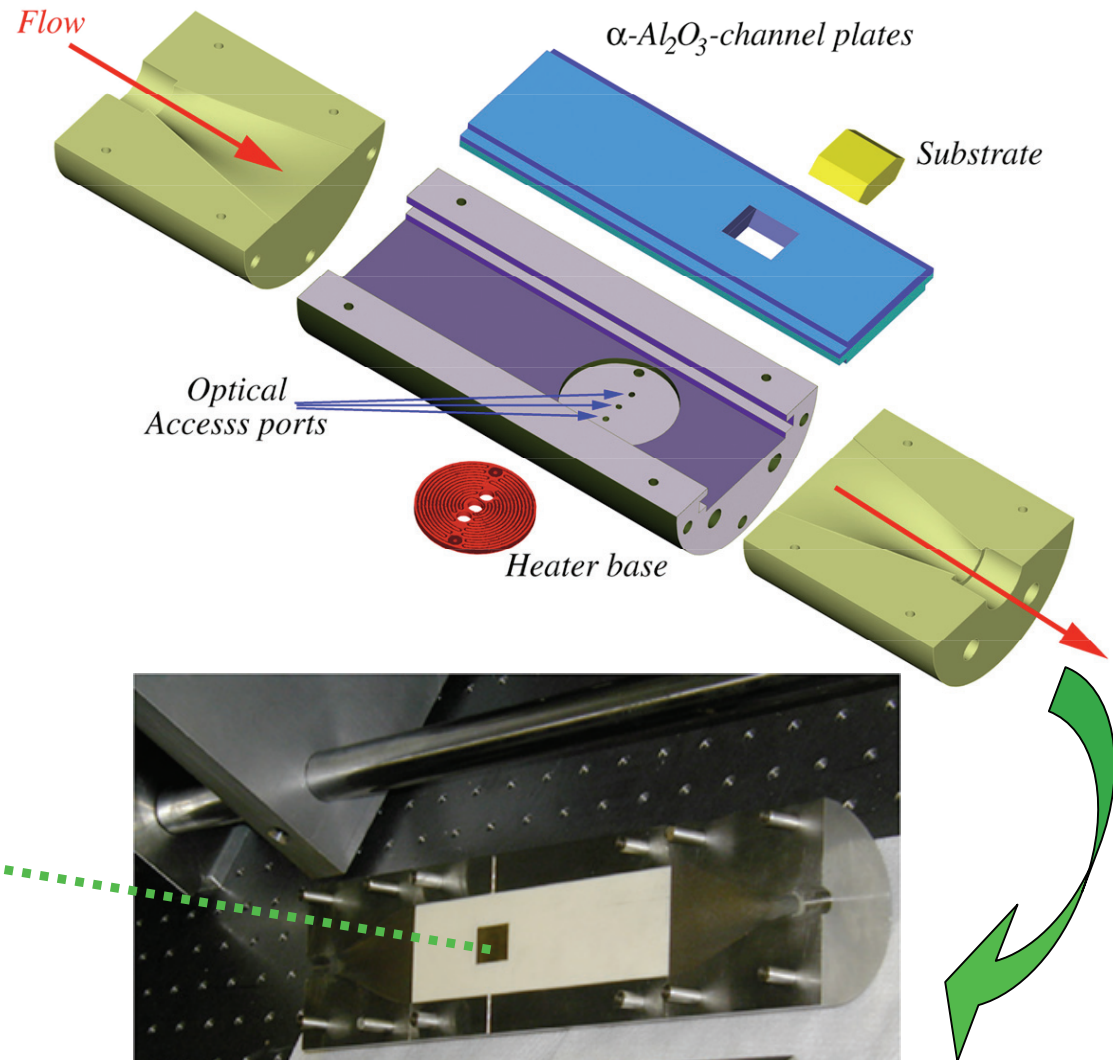
Design Criteria

- Maintenance of laminar gas flow
(constant cross section)
- Symmetric substrates arrangements
- Access to Real Time monitoring



Real-Time Monitoring

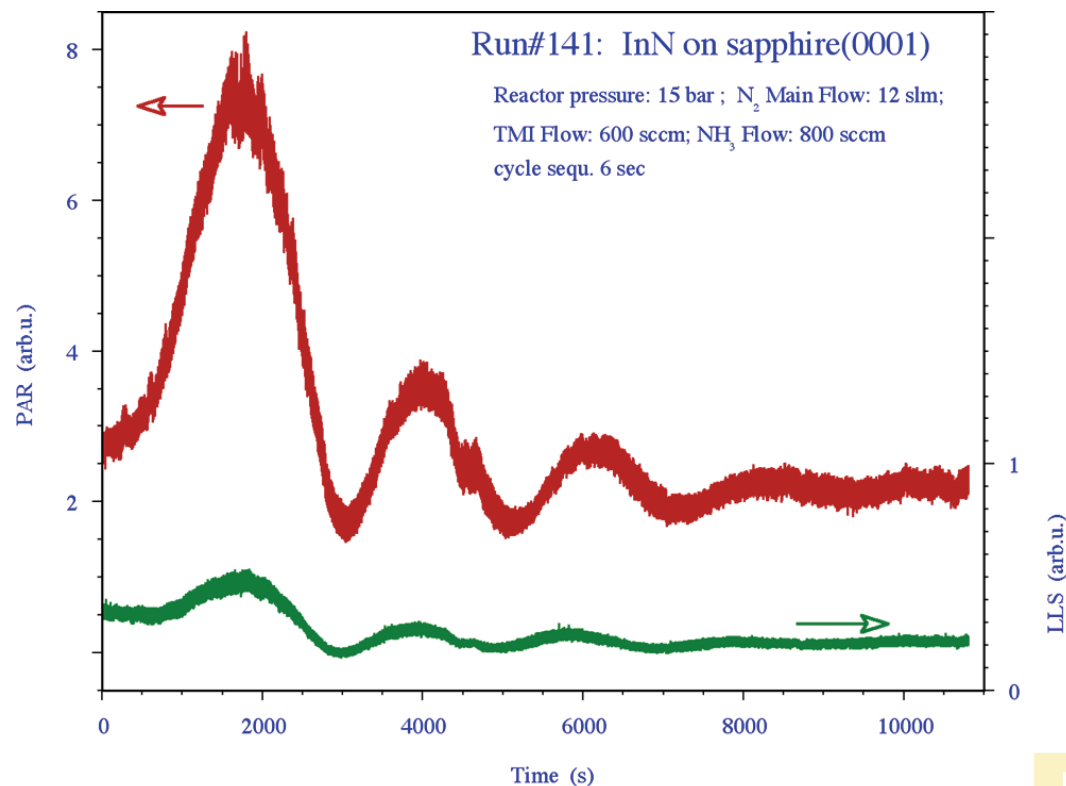
- Characterization of gas phase reactions: **UVAS**
- Surface chemistry & kinetics **PARS**
- Gas flow kinetics, Surface roughness **LLS**



*In collaboration with Prof. K.J. Bachmann and T.H. Banks (NCSU)
Supported by: NASA (real-time diagnostics); AFOSR (reactor development)

Real-time growth monitoring at elevated pressures

N. Dietz
Dept. Physics & Astronomy



Principal Angle Reflectance Spectroscopy (PARS):

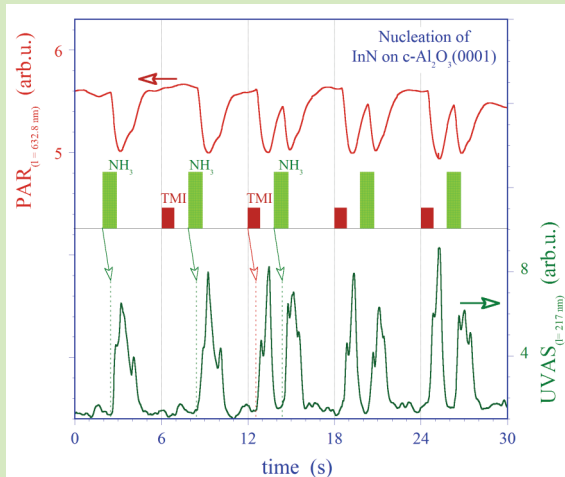
- Extract and track average growth rate and dielectric properties of growing layer
- Characterize and monitor surface chemistry processes with sub-monolayer resolution

Laser Light Scattering (LLS):

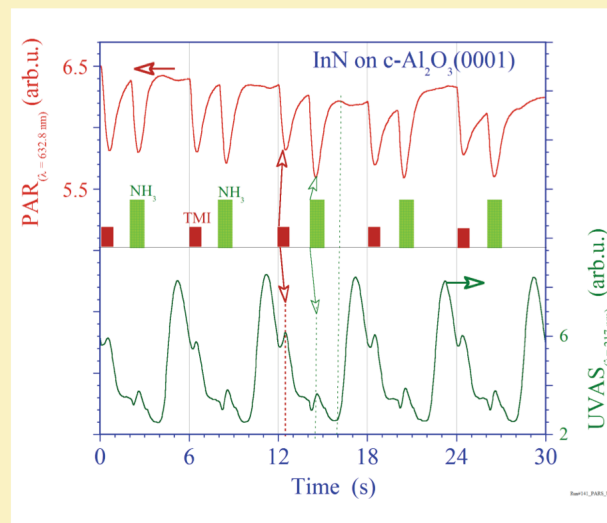
- Monitor surface morphology / roughness
- Analyze reactor flow kinetics

Ultra-violet Absorption Spectroscopy (UVAS):

- Characterize precursor decomposition (e.g. NH₃ / TMI / TMG).
- Analyze/track precursor concentration/profile.

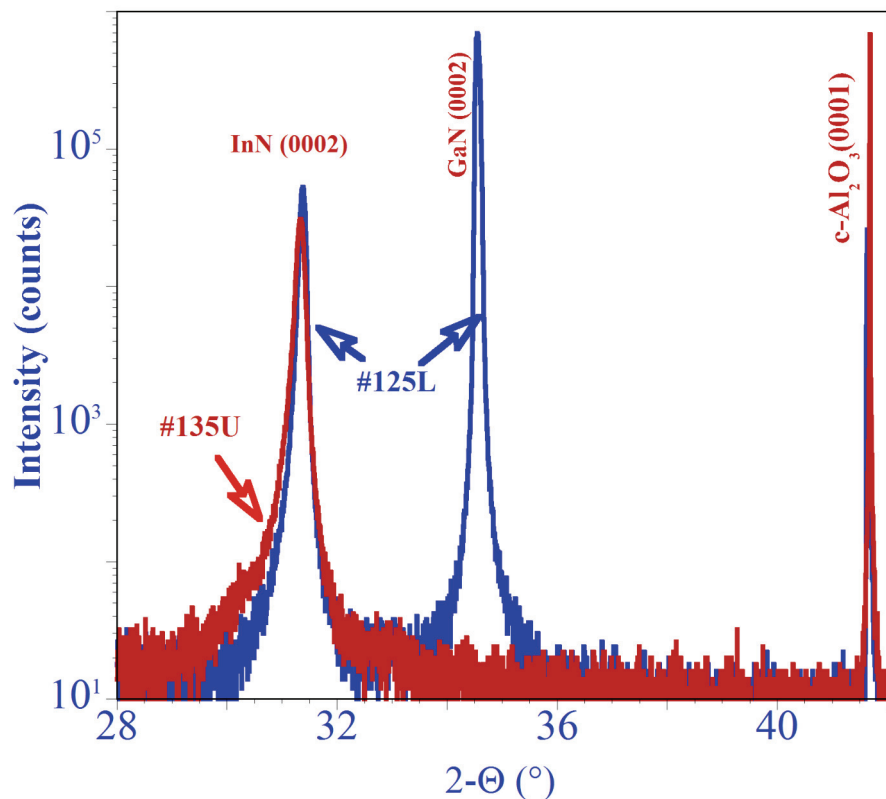


UVAS and PAR traces during the heteroepitaxial InN nucleation stage



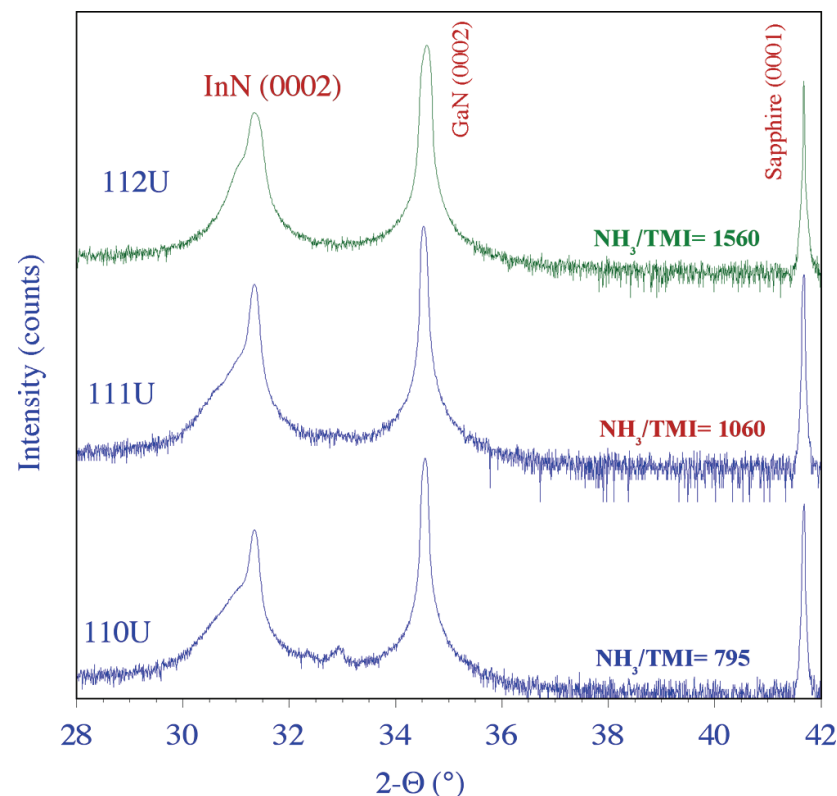
UVAS and PAR traces during steady-state growth conditions

InN film characterization: XRD



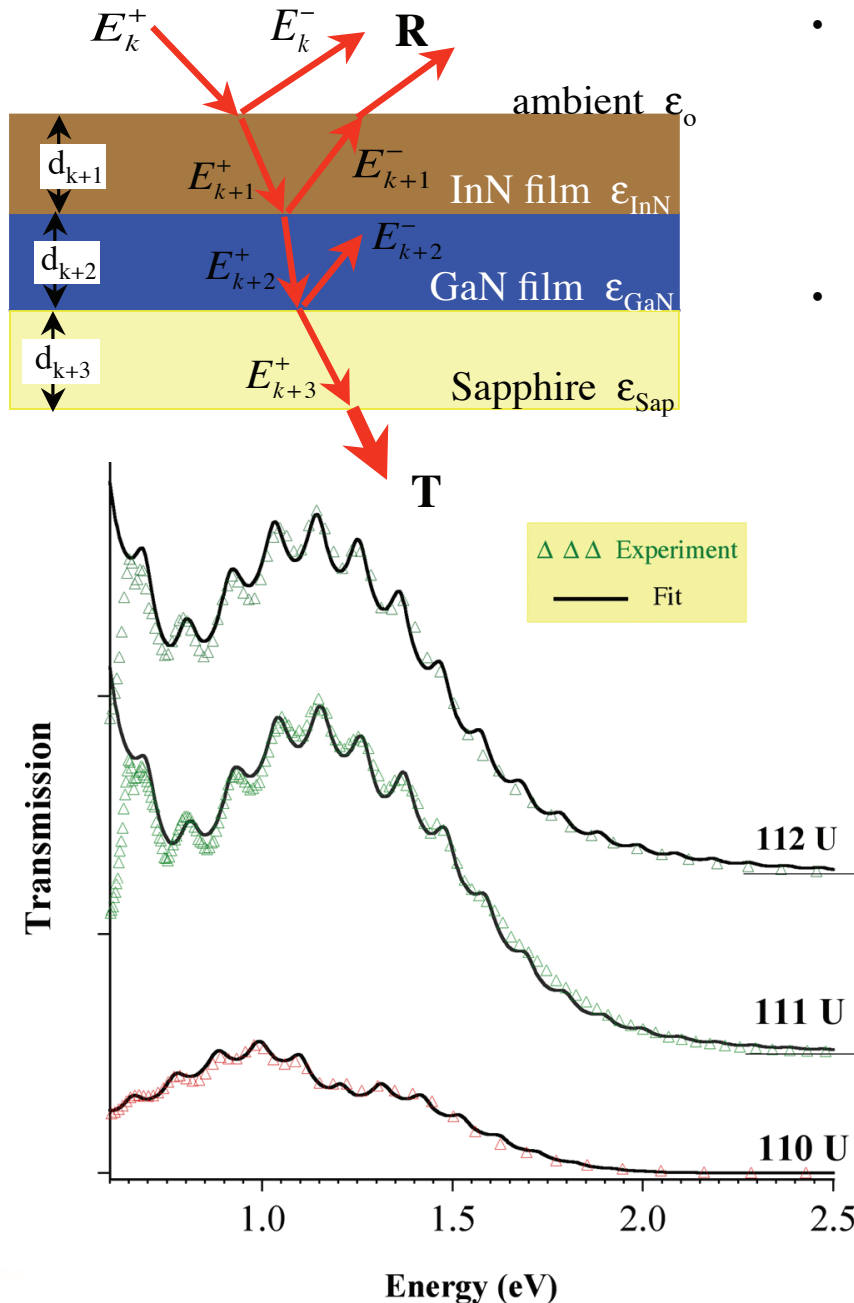
- Growth explored on sapphire and GaN/Sapphire
- For both templates, the growth of single phase InN (0002) epilayers achieved
- GaN/Sapphire FWHM ~ **385 arcsec**
- Sapphire FWHM ~ **492 arc sec**
- FWHM increases with lattice mismatch

Influence of Stoichiometry variations



- All samples were grown on GaN (0002)/ Sapphire(0001) templates
- The hexagonal phase InN (0002) peaks are centered around $2-\Theta=31.3^\circ$
- FWHM values of InN(0002) increase with increasing NH_3/TMI ratio
- Lattice constants; $c = 5.70 \text{ \AA}$, $a = 3.57 \text{ \AA}$
- As the thickness of the InN film increases, InN (101) appear at $\sim 33^\circ$ - indicating a shift in processing window!

InN film characterization: Transmission



- Optical properties of InN (ϵ_{InN} , d_{InN}) are determined by fitting the transmittance of an “ambient/InN/GaN/Sapphire” stack using the dielectric functions of the group III-nitrides based on a “model dielectric function” (MDF) approach^{1,2}

$$\epsilon_{InN}(\hbar\omega) = \epsilon_0(\hbar\omega) + \epsilon_{0x}(\hbar\omega) + \epsilon_1(\hbar\omega) + \epsilon_{1x}(\hbar\omega) + \epsilon_\infty$$

- A modified MDF model is used with two added oscillator at ≈ 0.8 and ≈ 1.2 eV, providing an excellent fit of the experimental transmission spectra:

$$\epsilon_{InN}(\hbar\omega) = \epsilon_{InN}(\hbar\omega) + \sum_{n=1}^2 \frac{S_{ab}}{(E_{ab}^2 - E^2) - iE\Gamma_{ab}}$$

S_{ab} : lorentzian oscillator strength,

E_{ab} : energetic position of absorption center,

Γ_{ab} : damping constant

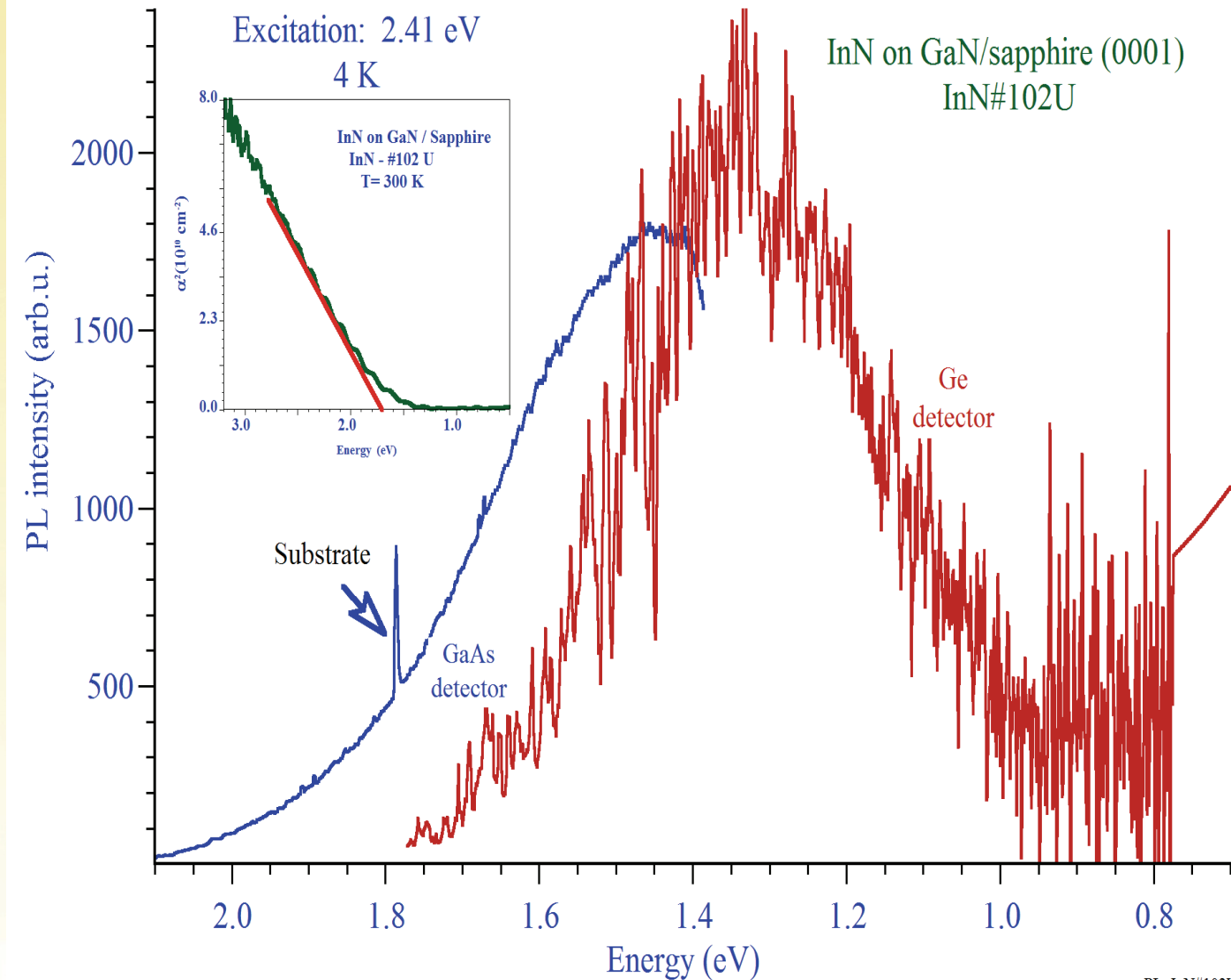
- The optical constants, the refractive index and the extinction coefficient are determined from the transmission measurements for the films with different molar ratios

Sample	#110 U	#111 U	#112 U
d _{InN} (nm)	520	320	290
ε _∞	0.58	2.05	2.12
E ₀ (eV)	1.92	1.58	1.48
S _{ab1}	0.46	0.37	0.37
E _{ab1}	0.64	0.8	0.8
Γ _{ab1}	0.57	0.34	0.35
S _{ab2}	0.075	0.01	-
E _{ab2}	1.2	1.3	-
Γ _{ab2}	0.22	0.1	-

1. A. B. Djusiric, and E. Herbert Li, *J. Appl. Phys.*, **85**, 2848(1999).

2. T. Kawashima, H. Yoshikawa, S. Adachi, S. Fuke, and K. Ohtsuka, *J. Appl. Phys.*, **82**, 3528(1997).

InN film characterization: *Photoluminescence*



- PL spectra measured at 4 K
- broad PL features obtained due to the high defect concentration ($> 10^{19} \text{ cm}^{-3}$)
- The absorption coefficient (inset) was calculated by using the film thickness from the fitting of reflectance and transmission data
- The intercept of linear fits indicates absorption edge around 1.5 - 1.6 eV
- No PL detected below 1.0 eV

The growth of InN by HPCVD

The need of high-pressure conditions

- *Enabling the embedding of InN and indium-rich layers in wide-bandgap III-nitrides*
 - growth temperatures at 1100K - 1200K for pressures 10-15 bar
 - stabilization of volatile In-N-fragments under nitrogen blanket
- *improved layer quality*
 - higher mobility of growth surface fragments
 - InGaN alloys by suppressing decomposition (phase segregation)
- *engineered gas flow - and decomposition kinetics*
 - onset of ammonia decomposition lowered at higher pressures
 - MMIn and atomic In fragments dominant at pressures above 15 bar
 - low ammonia : TMI ratio needed

InN growth by HPCVD - *Open tasks*

- What is the origin of absorption centers appearing around 1.2-1.0 eV and 0.8-0.7 eV ?
 - Are the energy levels associated with conduction band states? If so, why would they vary with In:N ratio?
 - Could it be that the oxygen defect energy states lay in the conduction band of InN and couples with InN band states?
- Next steps
 - Reduction of external impurities
 - Understanding the influence of oxygen impurity
 - Optimize InN layer properties and assess turbulent flow regime at *higher reactor pressures (20-100bar)*
 - Move to indium rich $\text{In}_{1-x}\text{Ga}_x\text{N}$ alloys & and embedded multiple GaN/ $\text{In}_{1-x}\text{Ga}_x\text{N}$ /GaN device structures.